Woodruff Library Open Access and Collections

The following Open Access (OA) Collections policy, drafted by Collection Management and the Scholarly Communications Office, outlines basic policies and principles regarding the addition of open access content to the libraries collections. It is a supplement to Woodruff Library’s current collection development policies, and defines the criteria for Woodruff Library to support emerging open access initiatives for monograph and journal programs. The OA Collections policy is divided into two parts: (1) financial support for open access initiatives, and (2) identification and selection of open access content for addition to the collection and DiscoverE.

Woodruff Library is committed to support and promote open access by way of providing open access content in our collection, supporting open access publishing efforts of faculty members, and supporting open access initiatives which benefit the greater research and scholarly community. Open access content enhances and supplements traditional resources that are purchased/owned, licensed or created by the library.

Support of OA Collection Initiatives

Numerous new and innovative open access initiatives from established and new publishers and presses are being marketed and piloted (see http://oad.simmons.edu/oadwiki/Publishers_of_OA_books). Therefore, a number of new models are emerging in this area, and continue to evolve.

*The following guidelines and principles should inform selectors and collection managers as to what content initiatives are to be supported by Emory’s libraries. Funds for support of these initiatives will likely be requested from the Common Good fund, but may also be supported by subject funds as appropriate.*

- The OA content should be within our current collection development guidelines (i.e, we would purchase this content to support the curricular and research needs of the Emory Community if it were fee-based). *Note that even when content may not match Emory’s curricular needs, the libraries may choose to support the initiative due to its general nature or scope.*
- The OA initiative or content should have a sustainable and equitable business model that does not require exorbitant costs on the part of the author or the library.
- Library financial support should be based on a reasonable cost/content ratio (comparison of fees charged in proportion to the content received).
- The company/organization providing the OA content should be reputable and follow the OASPA Code of Conduct (http://oaspa.org/membership/code-of-conduct/).
- The publisher should have a policy in place for the preservation of their open access content and/or the library should receive a copy suitable for preservation in Emory’s repository.
• In the case of for-profit publishers with open access options, see note below regarding support of individual researchers/scholars.

Support of each model will be reassessed on an annual basis to determine if terms of agreement or support (financial or otherwise) are still beneficial to Emory.

Support for Individual Faculty/Researchers

Although Emory Libraries may not be able to financially foster or support library participation in all open access initiatives, the Library may support individual authors efforts to publish open access journal articles or monographs. Emory University's Open Access Publishing Fund provides funds to Emory authors to support publishing in eligible open access journals and books when no alternative funding is available. For eligibility and criteria, please see https://open.library.emory.edu/authors/oa-fund/. Emory may also provide subvention funds for faculty authors to publish open access monographs, which may include for-profit publishers. While Emory may support monographs authored by individual faculty, Emory will not provide general funds to open access initiatives offered by for-profit publishers or where the cost to content ratio is considered unreasonable.

Open Access Collection Additions

Note that the policies below currently refer primarily to Woodruff collection policies, but could easily be adopted by other Emory libraries.

Relevant open access content identified as within our collection development policies will be added to our collection in a similar way as purchased content. As emphasized above, the content should be in line with our current collection development guidelines.

Individual OA journals do not need to be requested because Emory Libraries have activated all titles in the Directory of Open Access Journals (DOAJ) as a target in SFX, therefore these titles are included in DiscoverE.

For other open access content, including digital projects and monographs, the following procedures apply.

• Requests should go through CMTAG, as we are committing staff time to catalog and manage this content.
• Requesting entire collections of open access content from a publisher (or subject collection) through CMTAG is recommended, rather than individual monograph titles.
• Weeding of content (i.e., links to content no longer functioning) should take place concurrently with other link checking in ALMA.
• Open access monographs authored by Emory faculty should be included in Emory’s repository for preservation.
• Selectors should review subject collections in the Directory of Open Access Books (DOAB) and recommend subject collections to be catalogued.

Please note that content from supported OA initiatives will be added to the catalog as part of that process, and therefore does need not be requested separately. Some of the OA initiative titles or collections may have a metadata schema (e.g., Dublin Core) rather than MARC records and may require a crosswalk into MARC21. A workflow with Technical Services will be established to best manage the incorporation of such records into ALMA.

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